



Attorney Docket No.: N0377/7005(ERG)

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

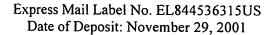
Attorney Docket No.	N0377/7005(ERG)	Ō
First Named Inventor or Application Identifier		
Bruce Compton et al.		, U.S
Express Mail Label No	EL844536315US	C99
Date of Deposit	November 29, 2001	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS Box Patent Application TO: Commissioner for Patents Washington, DC 20231			
[x] Fee Transmittal Form     (Submit an original, and a duplicate for fee processing)	8. □ CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)			
[x] Applicant claims small entity status. See 37 CFR 1.27.	□ Nucleotide and/or Amino Acid Sequence     Submission (if applicable, all necessary)			
[x] Statement filed in prior application, status still proper and desired.	<ul> <li>a. □ Computer Readable Form (CRF)</li> <li>b. □ Specification Sequence Listing on:</li> <li>i.□ CD-ROM or CD-R (2 copies); or</li> </ul>			
<ol> <li>Specification -Total pages 75</li> <li>69 - pages description</li> </ol>	ii. □ paper (identical to computer copy)  c. □ Statement verifying identity of above copies			
1 - pages abstract	ACCOMPANYING APPLICATION PARTS			
5 - pages claims 42 - Total claims				
4. □ Drawing(s) <i>(35 USC 113)</i> -	10. ☐ Assignment Papers/cover sheet & documents(s)			
Total sheets	11. □ 37 CFR 3.73(b) Statement			
☐ Informal ☐ Formal - Total drawings	(when there is an assignee)  ☐ Power of Attorney			
5. [x] Oath or Declaration  3 - Total pages	12. ☐ English Translation of Document (if applicable)			
- · · · · · · · · · · · · · · · · · · ·				
a.   Newly executed (original or copy)  b. [x] Copy from a prior application (37 CFR	13. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations			
1.63(d))  (for continuation/divisional with Box 18 completed)  [Note Box 6 below]	14. ☐ Preliminary Amendment			
<ul> <li>i.          DELETION OF INVENTOR(S)         Signed statement attached deleting</li> </ul>	15. ⊠ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	16. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)			
[x] Incorporation by Reference     (usable if Box 5b is checked)     The entire disclosure of the prior application,	17. ☐ Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii)			
from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.	18. [x] Other:  Copy of Petition for a Three Month Extension of Time and check for \$460.00 (for parent application)			
7. [x] Application Data Sheet, See 37 CFR 1.76	,			



### Attorney Docket No.: N0377/7005(ERG)

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:									
[x] Continuation □ Divisional □ Continuation-in-part (CIP) of prior application No.: 09/055,560, filed on April 6, 1998; entitled ORAL DELIVERY FORMULATION and now pending.									
□ Cancel in this application claims before calculating the filing fee.									
[x] Amend the specification by inserting before the first line of the specification on page 1, after the title, the									
following:This application is a [x] Continuation □ Divisional □ Continuation-in-part (CIP) of prior application No.: 09/055,560, filed on April 6, 1998, entitled ORAL DELIVERY FORMULATION and now pending									
Prior application information: Examiner R. Harrison Group Art Unit:1619									
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.									
	20.	CORRESP	PONDENCE AD	DRESS					
Correspondence address	s below					:			
CUSTOMER NUMBER: 23628									
OR									
ATTORNEY'S NAME	Edward R. Gates, Reg. No. 31,616								
FIRM NAME	Wolf, Greenfield & Sacks, P.C.								
ADDRESS	600 Atlantic Avenue								
CITY	Boston		STATE	MA	ZIP	02210			
COUNTRY	USA		TELEPHONE	(617) 720-3500	FAX	(617) 720-2441			
20. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED									
NAME	ME Edward R. Gates								
SIGNATURE		Ederal RG on							
DATE November 29, 2001									



#### ATTORNEY'S DOCKET NO. N0377/7003(ERG)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

B. Compton et al.

Serial No:

09/055,560

Filed:

April 6, 1998

For:

ORAL DELIVERY FORMULATION

Examiner:

R. Harrison

Art Unit:

1619

BOX PATENT APPLICATION COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Sir:

## TRANSMITTAL -----

Transmitted herewith are the following documents:

- [x] Petition for a Three Month Extension of Time
- [x] Check in the amount of \$460.00
- [x] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts

Respectfully Submitted,

Edward R. Gates

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Docket No. N0377/7003(ERG) November 29, 2001 xNovember 29, 2001

The PTO did not receive the following listed item(s) & AGO. OO Checki